

# American Heart Association Annual Report 2011-2012





**HEALTHY LIVING** 



"I never dreamed I would have a heart attack being in the shape I was in. The American Heart Association has played a huge role in my life."

Dan Martin, a reserve sergeant for the Pineville, N.C., Police Department whose heart stopped beating six times. He's made a full recovery.

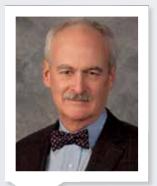
"I'm committed to working with not only women, but also the minority community, to realize we're at higher risk. It makes me feel good that I'm making a difference."

Reymita "Reina" Walls, an active, healthy mother and grandmother in Omaha, Neb., who overcame having clots form twice in the main artery of her heart. She now volunteers with our Go Red For Women movement to help other women understand their risks for heart disease.





2011-2012 Annual Report



William H. Roach, Jr., Esq. Chairman of the Board



Gordon F. Tomaselli, M.D., FAHA President



Nancy A. Brown
Chief Executive Officer

### From the Chairman of the Board and President

The American Heart Association has made great strides over the past year toward our mission of building healthier lives, free of cardiovascular diseases and stroke, and toward our 2020 goal of both a 20 percent improvement in cardiovascular health for **all** Americans and a 20 percent reduction in cardiovascular and stroke deaths.

The latest data shows a promising decrease in deaths since we first set the 2020 goal, but much work remains.

This year we continued supporting researchers seeking lifesaving solutions, such as the scientists developing a blood test that could determine a person's risk of a cardiovascular event. They recently became the first beneficiaries of our Science & Technology Accelerator Program, which is designed to speed the delivery of groundbreaking innovations from the laboratory to the clinic. Our Research Summit in May explored more ways our organization can remain the leader in cardiovascular and stroke science.

Innovation and education were everywhere during this fiscal year, from the launch of the Hands-Only™ CPR campaign to physical fitness promotions. We've also expanded the use of the Heart-Check mark. Look for it on more grocery store items and even on restaurant meals. Hospitals, too, can now receive the Heart-Check mark as an American Heart Association

designation of quality. We continued to help create quality systems of care through programs like Mission: Lifeline®, which ensures the best treatment is available to patients in the critical first minutes of a heart attack. The program now covers more than 61 percent of the U.S. population, including people in high-need rural areas in North Dakota, South Dakota and Wyoming.

Everything the American Heart Association and American Stroke Association have done this year was made possible by volunteers. This year we created our Gold Standard Boards recognition program to honor the work of outstanding volunteers, part of our growing Vision for Volunteerism initiative.

We are proud of everything accomplished on our watch, and we look forward to continued success from the new volunteer leaders.

William H. Roach, Jr., Esq.

Chairman of the Board

Willputtas

Gordon F. Tomaselli, M.D., FAHA

President

### From the CEO

They'll arrive at the break of dawn in the downtown of your city to set up a Heart Walk fundraising event, hours before our crowds of enthusiastic walkers arrive.

They'll gather around a table to assess – and debate – countless pages in the medical literature before crafting scientific statements that are truly on the cutting edge of research.

They'll stand before audiences and recount their own personal fights to survive cardiovascular diseases or stroke, to bring hope to others who've been diagnosed with these conditiions.

And as "You're the Cure" advocates, they'll reach out by the thousands to local, state and federal lawmakers, providing a powerful voice while helping to advance public health policies to build healthier environments.

These are the volunteers of the American Heart Association. The roles they play on our behalf are myriad. Their impact on our work is immeasurable.

Volunteers have been our lifeblood since we were founded in 1924, and I am proud that in 2011-12 they stepped forward once again to share their talents, insights and energy, and give shape to our mission.

Through our Vision for Volunteerism initiative, launched two years ago, we have been reaching out to people in communities across the nation, inviting them to become a part of the American Heart Association. The enthusiasm of their response has been humbling.

No matter how hard we try, we can't thank our volunteers enough. Although, for them, it's never been a question of wanting recognition or gestures of appreciation. For our volunteers, the only question is: How can we help?

Just as they have guided us in past decades, volunteers are the ones we will depend on to carry us along the road to our 2020 Impact Goal. We couldn't be in better hands.

Nancy A. Brown

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### ABOUT THE AMERICAN HEART ASSOCIATION

Who We Are: The American Heart Association is the nation's oldest, largest voluntary organization devoted to fighting heart disease and stroke.

Founded by six cardiologists in 1924, our organization now includes more than 22.5 million volunteers and supporters. We fund innovative research, fight for stronger public health policies and provide critical tools and information to save and improve lives.

Our nationwide organization includes 144 local offices and nearly 2,700 employees. We moved our national headquarters from New York to Dallas in 1975 to be more centrally located. The American Stroke Association was created as a division in 1997 to bring together the organization's stroke-related activities.

**Our Mission:** Building healthier lives, free of cardiovascular diseases and stroke. Our mission drives everything we do.

What We Do: To improve the lives of all Americans, we provide public health education in a variety of ways.

We're the nation's leader in CPR education training. We help people understand the importance of healthy lifestyle choices. We provide science-based treatment guidelines to healthcare professionals to help ensure the best treatment for every patient, every time. We educate lawmakers, policymakers and the public as

we advocate for changes to protect and improve the health of our communities.

Our volunteer experts select scientific research most worthy of funding – with great results. We have funded more than \$3.4 billion in research since 1949, more than any organization outside the federal government. We have funded 13 Nobel Prize winners and many lifesaving research advancements such as the first artificial heart valve, cholesterol-inhibiting drugs, heart transplantation, and CPR techniques and guidelines.

Why We're Needed: Heart disease is the No. 1 killer of Americans. Stroke ranks fourth and is a leading cause of severe disability. Far too many families are losing loved ones of all ages.

Each year, these diseases kill more than 811,000 Americans, which is roughly the same as the entire population of El Paso, Texas; Baton Rouge, La.; or Tacoma, Wash.

Some form of cardiovascular disease affects more than one in every three adult Americans.

Many suffer terribly from disabilities caused by these diseases.

The American Heart Association wants everyone to understand the threat – and to know that

cardiovascular diseases and stroke are largely preventable. Risks can be lowered by adhering to what we call Life's Simple 7: not smoking, being physically active, maintaining a healthy body weight, eating a healthy diet, controlling blood pressure, controlling cholesterol and controlling blood sugar.

Our 2020 Goal: We are working toward improving the cardiovascular health of *all* Americans by 20 percent, and reducing deaths from cardiovascular diseases and stroke by 20 percent, all by the year 2020.

Our programs, educational resources and advocacy initiatives are all targeted at helping people identify and adopt healthier lifestyles.

To assess your cardiovascular health, all you need to do is visit **MyLifeCheck.org** and answer a few questions. You will learn about modest changes that could make major differences.

For more information, visit **heart.org** or call 1-800-AHA-USA1.



## SERVING ALL AMERICANS **WESTERN STATES GREAT RIVERS** SOUTHWEST MID-ATLANTIC Our offices are spread all over America so we can work with volunteers to help people fight and prevent heart disease and stroke no matter where they live. Local offices are organized into geography-based **FOUNDERS GREATER SOUTHEAST** affiliates to maximize our coverage of the entire country. Here is the breakdown of our seven affiliates: MIDWEST ND MT MN OR SD ID WY ΙA NE ОН DE IL NV DC UT CO МО KS TN ок sc AR GΑ MS **National Center** TX

### HOW WE IMPROVE AND SAVE LIVES

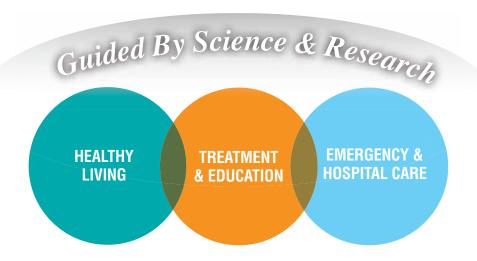
## Our Strategic Plan

Imagine Americans exercising more, eating healthier and not smoking. Imagine your doctor equipped with better ways to care for your health, and hospitals better prepared to save lives. The American Heart Association and American Stroke Association work toward those goals every day.

We do this as part of a carefully developed Strategic Plan that is focused on an ambitious health goal we've set for the entire nation. We call it our 2020 Impact Goal: to improve the cardiovascular health of **all** Americans by 20 percent while reducing deaths from cardiovascular diseases and stroke by 20 percent. Meeting this goal will require changes in personal choices, public policies and

healthcare systems. Along with our volunteers, we work with individuals, schools, lawmakers, healthcare providers and others to ensure everyone has access to healthier lifestyle choices and proper health care.

Our 2020 goal exists to save lives. We also use this target to measure the success of our work. Accountability is critical to the American Heart Association, and it's a key reason we have been one of the world's most respected health organizations for nearly 90 years. All of the work we do to fulfill our Strategic Plan and meet the 2020 goal can be broken down into three general areas shown below. And, of course, everything we do is guided by science and research.



### SCIENCE & RESEARCH

Everything we do is guided by science. That means support for groundbreaking research into cardiovascular diseases and stroke. That also means all of our healthy living programs, awareness campaigns, advocacy efforts, education and training, and hospital quality improvement programs are based on the latest evidence-based findings.

### HEALTHY LIVING

Stretching beyond disease prevention, this emphasizes a better, healthier lifestyle. It means eating foods that taste great and happen to be healthy. It means walking, jogging or other exercise to feel better and enjoy a more active lifestyle with family and friends.

### TREATMENT & EDUCATION

If you or a loved one needs information about cardiovascular diseases or stroke, we are here for you. That means no matter your gender, ethnicity or age, we have something tailored to you. Our expertise and leadership helps guide state and federal lawmakers, as well as international policymakers at the United Nations.

### EMERGENCY & HOSPITAL CARE

We work to give all Americans the best care possible whenever and wherever it's needed. For example, CPR training can turn anyone into a lifesaver. We also work with hospitals of all sizes to evaluate and improve the quality and effectiveness of their care.

## STRATEGIC PROGRESS AND THE 2020 IMPACT GOAL

The first few steps toward our 2020 Impact Goal show some promising results.

The most encouraging news is the mortality rate. Deaths from heart disease and stroke have steadily declined over the past three years for which statistics are available, plunging 6.1 percent. (The most up-to-date statistics are from 2009 because it takes the government years to measure this detailed health information.) These results put us about a third of the way toward our goal of a 20 percent drop by 2020.

In raw numbers, there were more than 32,000 fewer age-adjusted deaths from cardiovascular diseases and stroke in 2009 than there were in 2007. Yet these are not merely raw numbers. These are people: parents, children and spouses; siblings, friends and neighbors; co-workers, clients and

teammates. Viewed that way, the real impact of this decrease is exponentially more profound. It's not just the 90 or so lives saved every day, but also the hundreds and thousands of loved ones, friends and colleagues who are touched by those lives.

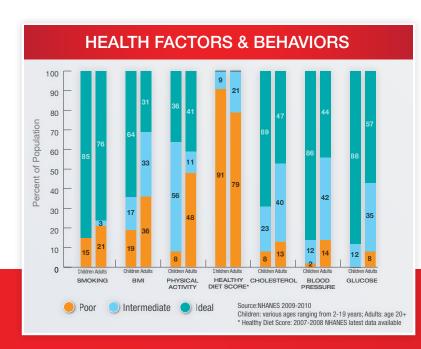
As for the other component of our 2020 Impact Goal – improving the cardiovascular health of *all* Americans by 20 percent – the early results are mixed. Nonsmoking and blood sugar levels are improving, and we're also seeing improvements among children in body weight (measured by BMI-for-age) and blood pressure levels. However, physical activity and cholesterol levels are worsening for both adults and kids, and BMI and blood pressure are both worsening for adults.

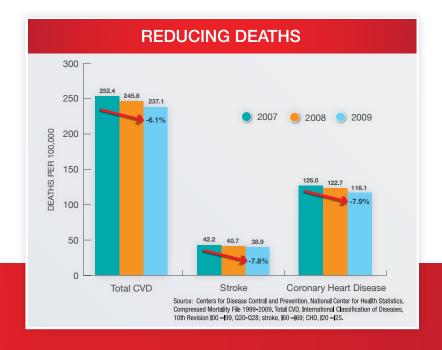
It's important to note that these results are very preliminary, measuring only one statistical "data

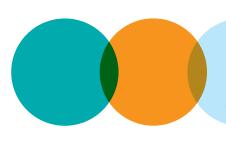
point" within a short time period. To put our progress in a larger perspective, over the past decade we have seen improvements in rates of nonsmoking, high blood pressure and cholesterol, but those gains have been offset by spikes in obesity and high glucose levels.

There is one other important thing to note about these statistics: They are based on the three years before we launched many initiatives aimed at improving these areas. We believe more progress has been made since then and we look forward to verifying that once the government collects the data from 2010 – when we announced our goal – and in the years leading up to 2020.

The bottom line is that while much work remains, lives are being saved and improved.







### Science & Research

Saving and improving lives is at the core of what we do, and science is at the core of how we do it. We lead the way in cardiovascular thought through our scientific meetings, journals and professional membership. And, remaining true to our founders' vision to grow and improve the field, we fund hundreds of scientific research projects each year.

### **Conferences and Meetings**

Scientific Sessions continues to be the largest cardiovascular meeting in the United States, and a significant destination for researchers, healthcare providers and educators worldwide. Our International Stroke Conference remains the most impactful and well-attended meeting for cerebrovascular science.

Attendance at our scientific conferences approached 27,000. These gatherings are enlivened by our professional membership, which reached a record high of more than 30,000 people from 109 countries.

#### **Scientific Journals and Publications**

News from our meetings and journals reaches millions of people around the world. Our portfolio of journals again earned top rankings in several measures of importance and influence from Journal Citation Reports®, a top arbiter of peer-reviewed publications. In February, we added a 12th journal, *Journal of the American Heart Association (JAHA)*. This "Open Access" journal is available online, allowing us to deliver information more rapidly.

We also started delivering science news to more than 70,000 professionals each morning with an electronic newsletter, *Cardiovascular Daily*, in collaboration with MedPage Today. Yet another new publication is *Noticias Científicas*. Each quarter, the latest science news from our conferences and journals is delivered in Spanish, making it more accessible to the nation's fastest-growing demographic and a broad international audience.

### **Scientific Innovation**

Our dedication to scientific research and innovation was

Ward and Dan Adams)

perhaps best evidenced by the 5,661 applications for research support we received this year, and the 972 new projects we funded. Another illustration was the initial investment from our Science & Technology Accelerator Program.

Established with a generous gift from Franck and Katina Gougeon, the

Accelerator fills the crucial gap in research funding caused by increased hesitance of investors to support early-stage innovations. The first investment was made in CytoVas, LLC, a new diagnostics company in Philadelphia that is developing a blood test designed to assess the health of blood vessels in people who don't have typical symptoms or signs of heart disease.

We also took a close look at our entire research program to ensure we continue to fund scientific work in the most impactful way. In May, we held a two-day Research Summit, bringing together about 100 volunteer and staff leaders for a rigorous assessment of our research awards, scientific review standards and more. The Board of Directors is expected to consider recommendations from the Research Summit in early 2013.

### **ASA-Bugher Centers for Stroke Prevention Research**

The most generous research donor in our organization's history, the Henrietta B. and Frederick H. Bugher Foundation, saw the conclusion of its third major research initiative with the American Heart Association/ American Stroke Association and announced plans to fund a fourth. In the most recent program, scientists at Harvard University, Duke University and the University of California-Davis spent four years collaborating on stroke prevention research. The new \$9.24 million grant will focus on an expanded stroke research agenda. The Bugher Foundation has donated more than \$36 million since 1984.



"I've never found anything but completely professional, personable and brilliant people. We trust the American Heart Association tremendously."

Dan Adams, Trustee of the Henrietta B. and Frederick H. Bugher Foundation.

(The Bugher Foundation Trustees, from left: Bryan Adams, Bruce Adams, Gayllis

Healthy Living

For years, we encouraged Americans to lower their risk of heart disease and stroke. Now we're taking the next step and also asking everyone to embrace a healthier lifestyle – simply to feel better and enjoy life more. With that in mind, we worked with our volunteers to design and enhance programs through our My Heart. My Life. The healthy-living initiative. And we took several other steps to help all Americans make healthier choices.

### **Healthier Foods, More Exercise**

About 126,000 Americans learned the importance of healthy diets through our Teaching Gardens. Children at 105 elementary schools across 27 states planted, nurtured and harvested vegetables – and, of course, tasted what they grew. Research shows that kids in gardening programs, and their families, are more likely to make lifelong changes in their eating habits. We're also making cooking easier for families. Our Simple Cooking with Heart program, funded by Walmart, is helping more people prepare simple, healthy and affordable meals.

More people now have more opportunities for walking, one of the easiest and best ways to get active. New Walking Clubs are being launched all over the country. Our online database of Walking Paths includes enough mileage to cover 28 round trips between New York and Los Angeles. Employers all over the country are

making healthy living a priority, and our program recognizing them continues to grow. Perhaps you are among the 6.3 million Americans working at one of the more than 2,400 Fit-Friendly Worksites this year.

### **Heart-Check Mark**

Eating healthy often starts with grocery shopping. This year the Heart-Check Mark Food Certification program was expanded, making it easier to find heart-healthy foods. Research shows that among all nutritional icons, ours is the most recognized and trusted. Nearly 950 products carry the Heart-Check mark, including – for the first time – fish, nuts and other foods higher in "better" fats. We now also certify heart-healthy meals in restaurants. The first restaurant meals to earn the Heart-Check mark were at Subway, the world's largest restaurant chain.

#### **Fighting Childhood Obesity**

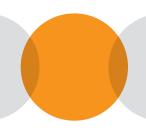
We have helped millions of people get active and eat healthier – more than 7.5 million alone through our work with the Alliance for a Healthier Generation. The Alliance, founded by the American Heart Association and the William J. Clinton Foundation in 2005 to reduce childhood obesity, makes physical activity and healthier foods available to children before, during and after school. Eighty percent of schools involved have made strides toward creating healthier environments for students and staff.

The school nutrition rules we've been advocating in states became federal law. We also continued improving public health by advocating in legislatures across the country. This year, we helped almost 17 million people in four states get more access to school facilities for physical activity after hours. We also successfully advocated for about 2.6 million schoolchildren in two states to have reduced exposure to unhealthy artificial trans fats. And more than 1.8 million students have gotten moving through the NFL PLAY 60 Challenge, our partnership with the NFL, since its inception in 2006.

### **Stopping Tobacco**

Over the years, we've helped pass laws across the country to stop public smoking and help smokers stop. This year, smokers got more tools to quit, thanks to our federal legislative efforts, the Affordable Care Act and changes in Medicaid benefits in three states. Millions more Americans in four states are benefitting from toughened state and local laws, such as higher cigarette taxes and smoke-free ordinances. These policies have been shown to dramatically reduce tobacco use among kids and encourage them to never start.





### Treatment & Education

Millions of Americans deal with heart disease or stroke every day, and every day we are here to help. As the leading volunteer-based organization battling those diseases, we consider it our responsibility to provide the information, programs and resources to empower survivors.

#### The Reliable Source

Our patient education websites average 20 million visits annually. We distributed more than 2.1 million printed health education materials to patients and consumers last year. Our materials earned 11 National Health Information awards recognizing the quality of consumer health information. Through print and online magazines, we reached more than 500,000 people with Heart Insight and more than 100,000 through Stroke Connection.

#### **Serving All Americans**

To ensure our message is heard by all Americans, this

We are committed to eliminating disparities in health care. year we continued to reach people who face particularly

high risk for heart disease and stroke: African-Americans, Hispanics/Latinos, people who live in underserved areas and those who still may not realize they are at risk: women.

Because we are devoted to changing the fact that heart disease is the No. 1 killer of women, we started the Go Red For Women movement in 2004. More than 1.4 million women have joined, and research shows nearly nine in 10 have made a healthy behavior change. All of our Go Red efforts are made possible by national sponsors Macy's and Merck & Co., Inc., and by our thousands of volunteers across the country. We continued raising awareness this year with the help of famous supporters during American Heart Month in February. U.S. Surgeon General Regina Benjamin, television personalities Dr. Mehmet Oz and Star Jones, and actresses Elizabeth Banks and Marlo Thomas were among those Going Red.

Sixteen women each received \$2,500 toward their college education through the first awards from our Go Red Multicultural Scholarship Fund. The aim is for them to become healthcare professionals who help their communities. We also delivered heart-health information

in Spanish through Go Red Por Tu Corazón.

African-Americans are twice as likely as white people to have a first-ever stroke, and our Power To End Stroke campaign helped hundreds of thousands make lifesaving changes this year. More than half our 750,000 Power participants have had

their blood pressure checked and seen a healthcare provider. Our Most Powerful Voices Gospel Competition registered 15,000 musicians and fans who will receive stroke information monthly. The 15,000-plus churches in the National Baptist Congress are spreading our messages, as are the 400,000-member Alpha Kappa Alpha Sorority and celebrities such as Bishop T.D. Jakes, author Stedman Graham, Michelle Williams of Destiny's Child fame and members of the Martin Luther King Jr. family.

#### Affordable Care Act

The Supreme Court's upholding of the Affordable Care Act was a victory for our 2020 goal and for patients, especially the 7.3 million uninsured Americans with heart disease or stroke. New, free preventive services were added for most Americans with private insurance and for Medicare beneficiaries. Medicare beneficiaries also can now receive a free annual wellness visit.

### **Global Challenge**

In addition to improving the health of Americans, we are proud to be a leader in the global fight against cardiovascular diseases and stroke. CEO Nancy Brown represented non-government organizations dedicated to these diseases on the U.S. delegation at a United Nations summit on non-communicable diseases. This was only the second time the U.N. held a high-level summit focusing on a health issue, and world governments adopted a target of reducing mortality by 25 percent by 2025.



"There is life after stroke. It can be a rich and fulfilling life. It's not the end, only the beginning." José Maldonado of Columbia, Md., who was paralyzed on his right side, couldn't read or write and struggled to speak after a massive stroke. Now, thanks to his wife and friends who never gave up on him, José has his life back.

## Emergency & Hospital Care

We hope our Healthy Living and our Treatment and Education programs keep you and your loved ones from ever being in an ambulance or hospital. But because tragedy does strike, we are constantly working to ensure everyone has access to the best and fastest care possible.

### **CPR and First Aid**

More than 14 million people in over 60 countries learned CPR from us last year. Training sites across Asia nearly doubled, spreading across Hong Kong, Taiwan, Macau and China through our alliance with Laerdal Medical Corp. Domestically, three states passed laws requiring CPR training in schools, with North Carolina making it a high school graduation requirement. A fourth state strengthened its school CPR law. We launched our Hands-Only CPR awareness campaign and mobile CPR tour to explain that anyone can be a lifesaver by following a few basic steps: If you see an adult collapse, call 9-1-1, then push hard and fast in the center of the chest. This campaign is funded by a \$4.5 million, three-year grant from the WellPoint Foundation.

### **Improving Treatment Systems**

More than 190 million Americans – about 60 percent of the U.S. population – are now covered by our program that ensures the best treatment for the kind of heart attack caused by a totally blocked coronary

artery. Our Mission: Lifeline program builds community systems of care so the best treatment practices are in place from the first 9-1-1 call to hospital discharge. These systems are crucial because survival rates for out-of-hospital cardiac arrest remain below 12 percent.

This year we expanded Mission: Lifeline to include more types of emergencies requiring cardiac resuscitation. These systems of care are especially critical in sparsely populated areas. This year, the Leona M. and Harry B. Helmsley Charitable Trust donated \$5.97 million to implement Mission: Lifeline throughout Wyoming; the two previous years, the Helmsley Trust gave \$8.4 million to the program in South Dakota and \$4.4 million in North Dakota.

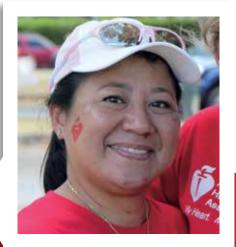
Our quality-improvement programs help hospitals nationwide follow the latest scientific treatment guidelines — with life-changing results. More than 200 peer-reviewed manuscripts published in leading scientific journals found that these programs led to lasting and important healthcare changes. More than 2,000 hospitals have implemented one or more Get With The Guidelines® programs for heart attacks, heart failure, resuscitation and stroke. Get With The Guidelines ensures that everyone gets the same quality of care, and is the only program of its kind proven to eliminate healthcare disparities based on ethnicity, race or gender.

#### Better Care - It's the Law

Over the past year, we have directly helped influence policy in all 50 states, the District of Columbia and Puerto Rico. Our emphasis on heart-defect screenings for newborns led to laws in five states. Measures we helped pass across the country cover smoke-free air, tobacco cessation coverage, improving nutrition, CPR training, stroke care and other heart-health matters.

### **Accredited & Certified Hospitals**

The Heart-Check mark you trust to help you pick the right foods now can help you find quality health care. In the first year, 47 states had at least one hospital meet standards of certification or accreditation. Nearly 1,000 hospitals were certified or accredited, including Primary Stroke Centers as part of our co-branded accreditation program with The Joint Commission; Advanced Certified Heart Failure hospitals; and Mission: Lifeline Accreditation as part of our program with the Society of Chest Pain Centers.



### FINANCIAL STEWARDSHIP

Before we spend a single dollar, we always ask a simple question: How will this expense change or save a life?

We hold ourselves accountable, both to our donors and to the Americans whose lives we are constantly striving to improve. This accountability permeates everything we do, especially spending. This has always been part of our approach, and is even more of an emphasis during these uncertain fiscal times.

Now take a closer look at how we spent a typical dollar this past year. The pie chart on page 13 shows that 74 cents went to research, public health and education, and professional education and training. Add another 5 cents per dollar for community services, and you get a total of \$487 million that we invested last year into the essence of our mission.

We did this by running an efficient organization. We also continued to exceed the Better Business Bureau Wise Giving Alliance standards.

Here are some more notable details from this year's financial statement:

#### Research

Research is at the core of everything we do, and our devotion to science was best expressed by a \$6 million increase in funding to research awards. This continues our status as being second only to the federal government in the funding of cardiovascular and stroke research in the United States.

#### **Revenue Growth**

Special events – our largest revenue stream – grew by \$16 million, an increase of 6.8 percent over the previous year. Restricted contributions spiked as well and we saw an increase in national corporate sponsorships.

Some of these gains were offset by declines in donations via direct mail and by a reduction in in-kind contributions primarily related to advertising for public service announcements. All told, public support revenue grew by \$19 million, or 3.7 percent.

gains of \$58 million, those same investments were down \$9 million in the current fiscal year. Additionally, year-end valuations of split interest agreements and AHA's beneficial interests in perpetual trusts resulted in losses of \$4 million compared to prior year gains of \$15 million.

This combined variance of \$86 million accounted for nearly our entire decrease in other revenue. These results are indicative of market volatility and not any specific investment decisions. Their impact to our daily operations is minimal, and we remain committed to a solid, long-term investment strategy that ensures our ability to withstand short-term fluctuations.

"Coming out of the financial downturn four years ago, our focus has been on building back our core revenue sources, controlling administrative expenses and managing our cash and investment resources," said Sunder D. Joshi, FCA, Chief Administrative Officer and Chief Financial Officer.

"Contributions, special events and bequests performed well with a combined growth of 6.3 percent," he said. "These core revenue sources comprised nearly 88 percent of total public support. Total expenses were almost unchanged and we generated \$24 million cash from operations. We were able to add \$26 million to unrestricted net assets and \$21 million to total net assets."



#### Other Revenue

As we are all aware, the current turbulent economy makes it difficult to enjoy sustained growth in investments. So a year after reporting long-term portfolio

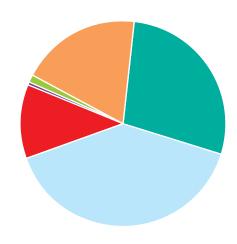
The American Heart Association funds more cardiovascular and stroke research than any U.S. organization except the federal government.

## FINANCIAL REPORT

The figures on this page depict the financial activities of the National Center, affiliates and all components of the American Heart Association and its division, the American Stroke Association, for the fiscal year ended June 30, 2012.

# PUBLIC SUPPORT AND OTHER REVENUE (in thousands)

|   | Total Revenue             | \$639,525 | 100.0% |
|---|---------------------------|-----------|--------|
| • | Other Revenue             | 121,687   | 19.0%  |
|   | Fundraising Agencies      | 7,528     | 1.2%   |
| • | Split-interest Agreements | 1,715     | 0.3%   |
| • | Bequests                  | 74,319    | 11.6%  |
| • | Net Special Events        | 255,346   | 39.9%  |
| • | Contributions             | \$178,930 | 28.0%  |
|   | ,                         |           |        |

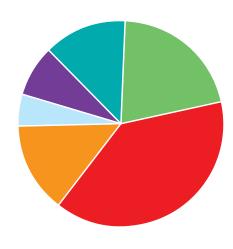


## **EXPENSES**

(in thousands)

| Total Expenses                             | \$617,389 | 100.0% |
|--------------------------------------------|-----------|--------|
| • Fundraising                              | 80,927    | 13.1%  |
| <ul><li>Management &amp; General</li></ul> | 48,933    | 7.9%   |
| <ul><li>Community Services</li></ul>       | 31,091    | 5.1%   |
| Professional Education & Training          | 86,537    | 14.0%  |
| <ul><li>Public Health Education</li></ul>  | 241,376   | 39.1%  |
| <ul><li>Research</li></ul>                 | \$128,525 | 20.8%  |
|                                            |           |        |

A complete copy of financial statements audited by KPMG, LLP is available upon request from the American Heart Association, Inc., 7272 Greenville Ave., Dallas, TX 75231 or on our website at heart.org.



### **ASSETS**

(in thousands)

| Total Assets                            | \$1,022,717 |
|-----------------------------------------|-------------|
| Other Assets                            | 22,243      |
| Land, Buildings and Equipment, Net      | 71,766      |
| Split-interest Agreements               | 65,795      |
| Accounts Receivable                     | 167,153     |
| Beneficial Interest in Perpetual Trusts | 125,674     |
| & Accrued Investment Income             | \$570,086   |
| Cash, Cash Equivalents, Investments     |             |

### **LIABILITIES**

(in thousands)

| Total Liabilities                   | \$348,663 |
|-------------------------------------|-----------|
| Other Liabilities                   | 41,337    |
| Accounts Payable & Accrued Expenses | 46,532    |
| Research Awards Payable             | \$260,794 |

### **NET ASSETS**

(in thousands)

| Total Liabilities and Net Assets  | \$674,054<br>\$1,022,717 |  |
|-----------------------------------|--------------------------|--|
| Total Net Assets                  |                          |  |
| Permanently Restricted Net Assets | 165,210                  |  |
| Temporarily Restricted Net Assets | 224,544                  |  |
| Unrestricted Net Assets           | \$284,300                |  |

## RAISING MONEY TO SAVE LIVES

With more than 82.5 million Americans living with cardiovascular diseases and the effects of stroke, we realize the impact of our mission. Many people remain at risk, especially among our youth, yet government funding for research is going down. This is why we cherish our donors. Whether gifts are coins from children or large checks from corporations, we know there's a reason for each—a thank-you for a life saved or changed, or to find more ways to save and change lives.



Dave Jones

At Pleasant Ridge
Elementary in the Chicago
suburbs, PE teacher Dave
Jones is a great example
of how our volunteers make
a difference. He uses our
Jump Rope For Heart
fundraiser to teach children
about the benefits of

exercise and the importance of helping others. "The kids really become part of a bigger community," he said. "I'm showing them, open up to more than yourself."

Those kids are among more than 10 million students involved last year in Jump Rope For Heart and our other in-school fundraiser, Hoops For Heart. Nearly 2 million of those students raised money. Many donations were small, but their combined impact was huge: \$54.7 million.

Merging physical activity and community service to produce a fun event also is the formula for our Heart Walks. More than 500,000 people served our lifesaving mission while participating in Heart Walks nationwide, raising a phenomenal \$100 million. We saw 26 markets each raise more than \$1 million, with Dallas setting a record of \$4.2 million.

Heart Walks are among several ways we bring communities together in the fight against heart disease and stroke. We celebrate survivors, volunteers and supporters in communities through Heart Balls and through Go Red For Women Luncheons. Close to 90,000 people attended Heart Balls last year, generating more than \$56 million. Another 84,000 guests enjoyed Go Red Luncheons that raised \$35.6 million.

As for Dave's school, he and his students raised \$46,440 last year, making Pleasant Ridge one of our top five fundraising schools once again. "It becomes a big thing in the kids' minds that we are a national power," he said. "And then they push each other to prove it!"

Of course, it's not just the kids who get satisfaction from raising money and volunteering for the American Heart Association. "Why do I volunteer? Because they couldn't pay me what it's worth if you were to put a price tag on it," said Dave, whose school has raised nearly \$800,000 since 1988-89.

### **Corporate Support and Community Leadership**

Our corporate supporters, as well as foundations and individual donors, found a wide variety of ways to get behind our lifesaving mission this year.

More than 10,000 Abbott Labs employees chose to give to us, helping to bring our total donations from workplace giving campaigns to more than \$7.1 million. Jenny Craig joined as a national supporter of our *My Heart. My Life.* healthy living initiative. Through the dedication of its employees and generosity of its customers, Ross Stores raised more than \$3.1 million. And WellPoint Foundation became the inaugural sponsor of our Hands-Only CPR campaign, part of the \$39.8 million generated in Corporate Relations.

Board member David Spina and wife Stevie gave \$1.5 million for the Spina Commitment to Quality Improvement. Their donation supports the Get With the Guidelines program, which ensures quality care for all Americans.

The Henrietta B. and Frederick H. Bugher Foundation continued its innovative support of stroke research by donating \$9.24 million to create the collaborative American Stroke Association-Bugher Centers of Excellence in Stroke. The Bugher Foundation is the most generous research donor in the history of our organization, giving more than \$36 million since 1984.

The Leona M. and Harry B. Helmsley Charitable Trust continued its support of Mission: Lifeline, our program that seeks the best treatment for the kind of heart attack caused by a totally blocked coronary artery, by giving \$5.97 million to help fund the program in Wyoming.

#### LIFETIME

Recognizes corporations and foundations with lifetime giving of \$1 million and above, and individuals and family foundations with lifetime giving of \$100,000 and above.

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